Request For Applications

**Human Resources for Health in 2030**

**Request for Applications**

**No. HRH2030-CBM-Sub-2021-01**

Issuance Date: January 13, 2021

Dear Applicant:

The Human Resources for Health in 2030 (HRH2030) Program*,* implemented by Chemonics International (hereinafter referred to as “Chemonics”), is seeking concept papers for development and implementation of a comprehensive coaching and training program to support Senior Technical Advisors embedded within National Malaria Control Programs in West and Central Africa. The subaward(s) will be awarded and implemented in accordance with USAID and US Government regulations governing subawards under cooperative agreement and HRH2030’sinternal subaward management policies.

Project and Chemonics employees may not ask for, and applicants are prohibited from offering, any money, fee, commission, credit, gift, gratuity, thing of value, or compensation to obtain or reward improper favorable treatment regarding this solicitation. Any improper request from a project employee should be reported to the HRH2030 project director or [BusinessConduct@chemonics.com](mailto:BusinessConduct@chemonics.com).

Annexes included with this Request for Applications:

- **Annex A** – Concept Paper Application Form

- **Annex B** – Required Certifications and Assurances

- **Annex C** – Mandatory and Required As Applicable Standard Provisions

# SECTION I. PROGRAM DESCRIPTION

**IA. OBJECTIVE**

The HRH2030 Program is considering awarding subaward(s) to organizations to develop and implement a comprehensive coaching/training program for building the capacity of and supporting the transfer of skills to Senior Technical Advisors embedded within National Malaria Control Programs in West and Central Africa. The objective of the activity is to support the U.S. government’s investment in the Global Fund by strengthening the institutional and managerial capacities of targeted National Malaria Control Programs (NMCPs).

**IB. BACKGROUND**

HRH2030 is a six-year project financed by USAID and implemented by Chemonics International. As USAID’s global flagship human resources for health program, HRH2030 strives to build the accessible, available, acceptable, and high-quality health workforce needed to improve health outcomes. HRH2030 helps LMICs develop the health workforce needed to prevent maternal and child deaths, support the goals of Family Planning 2020, control the HIV/AIDS epidemic, and protect communities from infectious diseases.

Under HRH2030’s Capacity Building for Malaria (CBM) activities, the program is supporting the U.S. government’s investment in the Global Fund by addressing bottlenecks and health workforce challenges and strengthening the institutional and managerial capacities of targeted National Malaria Control Programs (NMCPs). The President’s Malaria Initiative (PMI) is one of the technical partners of the Global Fund, bridging gaps in knowledge, data, and/or expertise at the country level. The technical assistance provision for Global Fund grantees has assisted with more effective and efficient use of Global Fund resources in many instances, however certain countries and programs remain stagnant in their grant performance, subject to repeated challenges related to health workforce constraints such as the leadership capacity to design effective and achievable strategic plans and the long-term capacity development of NMCP Unit managers to implement national program activities. These bottlenecks affect the performance of the grant recipients as well as the progress toward malaria control in these countries, where Global Fund grant funds are contributing to national malaria control programs (NMCP).

HRH2030 CBM has embedded long-term technical advisors in the NMCP in the Central African Republic, Chad, Côte d’Ivoire, Guinea, and Togo to work with NMCP National Coordinators and their leadership teams to address the challenges of implementing malaria control and prevention activities while working to build capacity and transfer skills and knowledge to the NMCP staff with whom they are embedded.

The objectives of the HRH2030 CBM activities are to strengthen:

1. NMCPs’ institutional capacity to ensure effective implementation of high-quality malaria control services at all levels of the health system.
2. NMCPs’ leadership, health workforce, and procurement and supply management to support successful implementation of the Global Fund's new funding model and PMI funding.
3. Long-term technical advisors’ and NMCP technical knowledge and experience, and M&E management in malaria control.

To achieve these objectives, HRH2030 has operated under the PMI principle to “work within national malaria control strategies and plans and strengthen the capacity of national institutions, host-country systems, and professionals to address the challenges of malaria control, building country ownership and sustainability.”

By the end of the program in 2021, HRH2030 anticipates accomplishing the following:

* Outcome 1.1: Implementation of country NMCP work plans outlining NMCP structure and function areas for capacity building strengthened and sustained.
* Outcome 1.2: Capacity of the NMCP to implement strategic plans to effectively guide its long-term vision for malaria control strengthened.
* Outcome 2.1: NMCP’s human resources management systems and processes improved to address its health workforce needs.
* Outcome 2.2: NMCP’s procurement and supply management (PSM) pillars for malaria strengthened, to improve malaria control.
* Outcome 3.1: Knowledge-sharing practices between the NMCP and LTTAs supported through Community of Practice (COP) platform, to increase NMCP capacity.
* Outcome 3.2: Capacity of NMCP to effectively monitor and evaluate progress through the M&E plan improved.

**IC. DETAILED PROGRAM DESCRIPTION**

HRH2030 is seeking applications from organizations with experience implementing leadership and training/coaching program to support the objectives and achievement of outcomes of the CBM activities. The leadership and training/coaching program will benefit seven (7) Senior Technical Advisors embedded within National Malaria Control Programs in Central African Republic, Chad, Côte d’Ivoire, Guinea, and Togo to improve their ability to coach and mentor NMCP staff. Please note that fluency in French is a requirement and that all activities with the advisors will be conducted in French.

The following is an illustrative list of activities to be supported. Applicants are encouraged to be innovative, adaptive, and creative in their approach and may introduce other tasks and activities to support the program objectives.

* Organize a mix of one-on-one meetings and trainings/workshops (both small group and large group sessions) with the advisors over video conference. All meetings will be in French.
* Conduct broad training and exercises that include best practices on how to coach staff, how to transfer skills and knowledge, leadership, management, how to mentor staff – and in particular, go into detail on different methods and techniques for on-the-job training, small group training, large group training, and virtual training. The successful applicant shall help advisors hone their skills putting together coaching strategies for both larger groups and individual plans for targeted support.
* Conduct continuous follow up with the advisors individually and all together to explore lessons learned from the introduction of new techniques and to share experiences

**ID. AUTHORITY/GOVERNING REGULATIONS**

HRH2030subawards are made under the authority of the U.S. Foreign Assistance Act of 1961, as amended. Subawards made to non-U.S. organizations will adhere to guidance provided under [ADS Chapter 303](https://www.usaid.gov/ads/policy/300/303), “Grants and Cooperative Agreements to Non-Governmental Organizations” and will be within the terms of the USAID Standard Provisions as linked in the annexes, as well as the HRH2030subaward procedures.

ADS 303 references two additional regulatory documents issued by the U.S. Government’s Office of Management and Budget (OMB) and the U.S. Agency for International Development:

* 2 CFR 200 [Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart E](http://www.ecfr.gov/cgi-bin/text-idx?SID=2e11c56f4c402a68fd92aee657de8475&mc=true&node=sp2.1.200.e&rgn=div6) (U.S. applicants are subject to 2 CFR 200 in its entirety)
* 2 CFR 700, USAID’s [Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](http://www.ecfr.gov/cgi-bin/text-idx?SID=531ffcc47b660d86ca8bbc5a64eed128&mc=true&node=pt2.1.700&rgn=div5) (only applicable to U.S. Applicants)

Full text of 2 CFR 200 can be found at <http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl> and 2 CFR 700 at <http://www.ecfr.gov/cgi-bin/text-idx?SID=531ffcc47b660d86ca8bbc5a64eed128&mc=true&node=pt2.1.700&rgn=div5>.

HRH2030 is required to ensure that all organizations receiving USAID subaward funds comply with the guidance found in the regulations referenced above, as applicable to the respective terms and conditions of their subawards.

Under the HRH2030 cooperative agreement, USAID retains the right at all times to terminate, in whole or in part, HRH2030’a subaward-making authorities.

# SECTION II. AWARD INFORMATION

HRH2030 anticipates awarding one (1) subaward but may consider awarding multiple subawards; the type of subaward will be determined during the negotiation process. It is anticipated that the subaward may range from US $75,000 up to US $100,000*,* but the final amount will be dependent upon subaward activities and final negotiation and may be lower or higher than that range*.* The duration of any subaward under this solicitation is expected to be no more than six (6) months. The estimated start date of subawards awarded under this solicitation is March 1, 2021.

# SECTION III. ELIGIBILITY

**IIIA. ELIGIBLE RECIPIENTS**

* Applicants may be only organizations and must be registered in their country(-ies) of operation, formally constituted, recognized by and in good standing with appropriate authorities, and compliant with all applicable civil and fiscal regulations.
* Faith-based and community groups will receive equal opportunity for funding in accordance with the mandated guidelines laid out in ADS 303.3.28 except for faith-based organizations whose objectives are for discriminatory and religious purposes, and whose main objective of the grant is of a religious nature.
* Applicants may only submit one (1) application per prime organization under this RFA.
* Applicants must clearly mark any confidential or proprietary content (including intellectual property and trade secrets) that they wish to protect. Applicants will retain ownership of such confidential or proprietary content. HRH2030 will protect such marked confidential or proprietary content and will only use it as necessary to administer the competition. Applicants must have rights to all the intellectual property in their submissions. Applicants are responsible for ensuring that they are not infringing on the intellectual property of other individuals or entities.
* Applicants are prohibited from offering any money, fee, commission, credit, gift, gratuity, thing of value, or compensation to obtain or reward improper, favorable treatment regarding this solicitation.
* Applicants must display sound management in the form of financial, administrative, and technical policies and procedures and present a system of internal controls that safeguard assets; protect against fraud, waste, and abuse; and support the achievement of program goals and objectives. HRH2030 will assess this capability prior to awarding a subaward.
* The following are required to be submitted as part of the application package in response to an RFA found in Annex B.
  + Certification of “Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (AAPD 14-03, August 2014)”
  + Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)
* Applicants must sign additional required certifications ONLY if they are selected as a finalist for receiving a subaward. These forms are not required to be submitted as part of the concept paper application. The certifications are attached to this solicitation (Annex B) and HRH2030 will review them with finalists.
* For any subaward(s) resulting from this solicitation that is other than in-kind and equivalent to $25,000 USD or more, sub-recipients will be required to provide a Data Universal Numbering System (DUNS) number at the time of award. If the applicant already has a DUNS number it should be included in their application. Otherwise, applicants will be expected to get a DUNS number before an award is made. HRH2030 will assist successful applicants with this process. DUNS numbers can be obtained online at <http://fedgov.dnb.com/webform/pages/CCRSearch.jsp>

HRH2030 encourages applications from new organizations who meet the above eligibility criteria.

**SECTION IV – APPLICATION AND SUBMISSION INFORMATION**

**IVA. INSTRUCTIONS TO APPLICANTS**

Applicants must propose strategies for the implementation of the program description described above, introducing innovations that are appropriate to their organizational strengths.

**IVB. APPLICATION PROCESS**

This is a two-stage application process. The first stage is for the applicant to submit a concept paper. Concept paper forms (Annex A) will be evaluated against the merit review criteria listed in Section V below. If the applicant successfully meets or exceeds the merit review criteria, they will be invited to deliver a presentation on their detailed technical approach. Only those applicants who meet or exceed the merit review criteria at the concept paper stage will be invited to the second stage of the application process.

Instructions and a template for the subaward concept paper form are in Annex A. Applicants that submit subaward concept papers that meet or exceed the merit review criteria will be notified of next steps in the application process.

Templates to be utilized when developing the concept paper are provided in Annex A. Applicants shall present their technical application and budget in the formats provided and shall follow the instructions and guidelines listed in these annexes.

All subaward activity costs must be within the normal operating practices of the applicant and in accordance with its written policies and procedures. For applicants without an audited indirect cost rate, the budget may include direct costs that will be incurred by the applicant to provide identifiable administrative and management costs that can be directly attributable to supporting the subaward objective.

The concept paper must be signed by an authorized agent of the applicant.

**IVC. APPLICATION AND SUBMISSION INFORMATION**

Concept Papers shall be submitted in English and may not be more than five (5) pages. Concept papersshould be submitted in electronic copy by email to [HRHCBMPMU@chemonics.com](mailto:HRHCBMPMU@chemonics.com) and should reference RFA No. HRH2030-CBM-Sub-2021-001. Applications must be submitted **no later than 11:59 pmEastern Time (ET), on February 12, 2021***.* Late or unresponsive applications will not be considered.

In addition to the concept paper forms and budget, applicants should submit the following:

* Signed and dated Required Certifications provided under Annex
  + - Certification of “Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (AAPD 14-03, August 2014)”
    - Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)
* A copy of the Applicant’s valid legal registration

**IVD. QUESTIONS REGARDING THE APPLICATION PROCESS**

Please submit all questions concerning this Request for Applications to [HRHCBMPMU@chemonics.com](mailto:HRHCBMPMU@chemonics.com). Questions must be received by 11:59 p.m. Eastern (Washington, DC) time, on January 22, 2021. Answers to questions will be posted on January 29, 2021, approximately one week after the deadline for questions.

**IVE. INELIGIBLE EXPENSES**

HRH2030 subaward funds may not be utilized for the following:

* Construction or infrastructure activities of any kind.
* Ceremonies, parties, celebrations, or “representation” expenses.
* Purchases of restricted goods, such as: restricted agricultural commodities, motor vehicles including motorcycles, pharmaceuticals, medical equipment, contraceptive products, used equipment; without the previous approval of HRH2030, or prohibited goods, prohibited goods under USAID regulations, including but not limited to the following: abortion equipment and services, luxury goods, etc.
* Alcoholic beverages.
* Purchases of goods or services restricted or prohibited under the prevailing USAID source/ nationality (Cuba, Iran, North Korea and Syria).
* Any purchase or activity, which has already been made.
* Purchases or activities unnecessary to accomplish subaward purposes as determined by the HRH2030.
* Prior obligations of and/or, debts, fines, and penalties imposed on the Subrecipient.
* Creation of endowments.

**SECTION V. APPLICATION MERIT REVIEW CRITERIA**

As described above, applications will be evaluated in a two-step evaluation process:

* The first step is for applicants to submit a concept paper.
* If the concept paper is accepted, you will be asked to deliver a presentation on the detailed technical approach.

All concept papers and applications will be reviewed by an internal review panel comprised of HRH2030 staff, and recommendations may be vetted by a larger group. Concept papers will be evaluated against the criteria below.

* Does the organization meet the eligibility requirements?
* Does the organization’s concept paper highlight relevant past performance in this area?
* Does the proposed activity fit within the HRH2030 CBM objectives described in the RFA?
* Is the request for funding commensurate with the potential impact?
* Is the technical approach feasible?

Applicants that are invited to the second stage will be asked to deliver a 30-minute presentation on the detailed technical approach, implementation timeline, and management approach. In the second stage, the applicant will be evaluated against more specific merit review criteria listed in the table and described below:

|  |  |
| --- | --- |
| **Merit Review Category** | **Rating (Points)** |
| 1. Feasibility of Design & Technical Approach | 40 |
| 1. Management & Programmatic Capacity | 40 |
| 1. Past Performance | 20 |
| **Overall Rating (out of 100 points)** | **100** |

These merit review criteria elements are described more fully below.

A. *Feasibility of Design & Technical Approach.* The quality and feasibility of the application in terms of the viability of the proposed technical approach, (i.e., the proposed technical approach can reasonably be expected to produce the intended outcomes), appropriateness of the proposed methodology, innovativeness, and the work plan for achieving project objectives to offer significant impacts on improving the ability of HRH2030 CBM Technical Advisors to coach and mentor NMCP staff. The technical approach must directly contribute to the achievement of the HRH2030 CBM Program’s expected results and performance under the activity and must be measurable under one or more of the HRH2030 CBM Program’s outcomes. Evaluation of approaches may include either approaches proven to be effective or new untried approaches with promise. Proposed mechanisms for monitoring and evaluation with objectively measurable indicators will also be appraised. **40 points**

B. *Management and Programmatic Capacity.* Evidence of the capability to undertake and accomplish the proposed activities and positively strengthen HRH2030 CBM Advisors’ mentoring and coaching ability. The application should demonstrate the organization’s effectiveness in terms of technical capacity and key personnel. The evaluation will be based principally on the background, qualifications, reputation, appropriateness and skills of its key personnel. **40 points**

C. *Past Performance.* Previous or ongoing experience implementing similar activities. This examines an Applicant’s references and experience, which is a critical factor in assessing the capacity of the organization to implement the activity. **20 points**

Additionally, HRH2030 will ensure environmental soundness and compliance in design and implementation as required by 22 CFR 216 and approved HRH2030 IEE.

**SECTION VI. AWARD AND ADMINISTRATION INFORMATION**

All subawards will be negotiated, denominated and funded in U.S. dollars.

All costs funded by the subaward must be allowable, allocable and reasonable. Subaward applications must be supported by a detailed and realistic budget as described in Section IV.

Issuance of this RFA and assistance with application development do not constitute an award or commitment on the part of HRH2030, nor does it commit HRH2030 to pay for costs incurred in the preparation and submission of an application. HRH2030 is under no obligation to fund any activity or provide any subawards to any applicant. Further, HRH2030 reserves the right to accept or reject any or all applications received and reserves the right to ask further clarifications from the offerors. Applicants will be informed in writing of the decision made regarding their application.

**LIST ANNEXES –**

- **Annex A** – Concept Paper Application Form

- **Annex B** – Required Certifications and Assurances

List of certifications and assurances to be submitted by the applicant as part of concept paper application:

* Certification of “Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (AAPD 14-03, August 2014)”
* Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)

List of certifications and assurances to be submitted by selected applicant prior to signing the subaward:

* Certification of Recipient
* Certification Regarding Terrorist Financing
* Assurance of Compliance with Laws and Regulations Governing Nondiscrimination in Federally Assisted Programs
* Certification Regarding Lobbying (Required for all subawards over $100,000).
* Federal Funding Accountability and Transparence Act (FFATA) Subaward Reporting Questionnaire and Certification (Required for all subawards of $25,000 or more)
* Protecting Life in Global Health Assistance
* For subawards over the equivalent of $25,000, the subrecipient must provide Chemonics with its Duns and Bradstreet Number (DUNS #) prior to subaward execution or immediately following execution. In cases where the subrecipient does not have a DUNS #, Chemonics may help the subrecipient to obtain one.

- **Annex C** – Mandatory and Required As Applicable Standard Provisions

* Standard Provisions for U.S. and Non-U.S. Nongovernmental organizations receiving a fixed amount award can be accessed through the following URL: <http://www.usaid.gov/sites/default/files/documents/1868/303mat.pdf>
* Standard Provisions for U.S. Nongovernmental recipients receiving all other types of grants can be accessed through following URL: <http://www.usaid.gov/sites/default/files/documents/1868/303maa.pdf>
* Standard Provisions for Non-U.S., Nongovernmental recipients receiving all other types of grants can be accessed through the following URL: <http://www.usaid.gov/sites/default/files/documents/1868/303mab.pdf>

The successful applicants will be required to follow these standard provisions which will be included as an annex to the subaward agreement.

Annex A. SUBAWARD CONCEPT PAPER TEMPLATE

A.1. Purpose

The *Subaward Concept Paper Template* is designed to gather basic information about the Applicant and what it is proposing to do. This format should be presented by the applicants in response to the RFA, per the instructions in the solicitation.

A.2. Instructions by Section

Items 1-2: Organization’s name, date organization was founded, and current registration status.

Item 3: Contact Information— Contact name, title, address, telephone, fax, e-mail, etc. The contact person (agent) is responsible for communications between HRH2030 and the Applicant. This applies to all aspects of the subaward application, from initial summary through negotiation and award. The agent must have full authority and responsibility to act on behalf of the Applicant. The agent should be someone who will be directly involved with the subaward activity and has a proven, established relationship with the Applicant.

Item 4: Briefly describe the organization and its activities—This section should introduce the Applicant and its background: how it was formed, its mission or purpose, major accomplishments in the area of the targeted activity, current activities, past related experience, and clients. This section must not exceed **one (1)** page in length.

Item 5: References—List three donors, partner organizations, or community leaders that can provide references for your organization’s ability to successfully carry out the financial, administrative, and technical requirements of the subaward activity. Briefly describe your relationship to the reference and the nature and duration of your work together. If the reference is a previous donor, list the activity and location of the activity(s) they funded. Be sure to provide complete information, including a point of contact, with telephone and email.

Item 6: Subaward activity title—The title given to the activity should relate to the subaward activity objective.

Item 7: Background—Identify the problem that the subaward’s activities propose to address. This section must not exceed **one (1)** pages in length.

Items 8-9: State the subaward objective; describe the activities that are proposed to meet this objective, the expected results to be achieved through the subaward activities, and how the activities are linked to the subaward objective. The subaward objective and activities must be linked to HRH2030’s objectives as described in the solicitation. This should be the most detailed section but must not exceed **three (3)** pages in length.

Item 10: Identify any personnel that are essential to the implementation of the activity.

Item 11: Anticipated duration should be stated with a degree of accuracy of plus or minus two weeks.

Item 12: Main task phases of the activity—Provide details regarding the subtasks of the activity.

Item 13: Approximate cost of this activity (cash, in-kind, and third-party sources)—Applicant must submit a rough estimate of the cost of the proposed activity and sources of funds, specifying how much will come from HRH2030, the Applicant’s contribution, and any third-party contributions. An exact detailed budget is not necessary at this point, only a plausible estimated calculation, which should be realistic and within 15 percent (+/-) of the final approved budget.

**A.3. Subaward Concept Paper Form**

Human Resources for Health in 2030 (HRH2030) Program

Grant Concept paper

1. Organization name:
2. Date organization was founded and registration status:
3. Contact information:

|  |  |
| --- | --- |
| Key contact person(s) and title: | |
| Office address: | Office phone: |
| Mobile: |  |
| Email: | Website: |
|  |  |

1. Briefly describe the organization, its purpose, and past related experience:

Have any of the key personnel or leadership of this organization been terminated or resigned in lieu of termination for any misconduct, including fraud or sexual harassment? If yes, please list name and title.

1. List contact information for three (3) references from previous donors or organizations (U.S. and other) that your organization has collaborated with in the last two years:

|  |  |  |  |
| --- | --- | --- | --- |
| Donor Agency or Organization | Nature of Relationship or  Title of Project, Location | Start & End Dates of Collaboration | Contact Person |
|  |  |  | Name & Position: |
|  | Email: |
|  | Tel: |
|  |  |  | Name & Position: |
|  | Email: |
|  | Tel: |
|  |  |  | Name & Position: |
|  | Email: |
|  | Tel: |

1. Title of the proposed subaward activity:
2. Background: What is the issue or problem that the activity will address? Why is it critical to address this issue?
3. Objective of the proposed subaward activity:
4. Activity Summary: Describe the proposed activity and expected results in detail, keeping in mind the merit review criteria contained in the solicitation.
5. Key Personnel: List the names of any key personnel for this activity (e.g. project lead) and a brief summary of their qualifications, including French language proficiency.
6. Anticipated duration of activity from start to finish:

|  |  |
| --- | --- |
| Overall length (total number of months) | Six (6) months |
| Start and end date (month/day/year) | on/around 3/1/2021-7/31/2021 |

1. Implementation Timeline: Please list the main task phases with estimated start and end dates for each task. Please include all events, trainings, publications, etc.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *Description of Main Tasks* | *Responsible Party(s)* | *Subaward Resources Required* | *Non-Subaward Resources Required* | *Start & End Dates* | *Milestone of Achievement* |
| *Task 1:* |  |  |  |  |  |
| *Task 2:* |  |  |  |  |  |
| *Task 3:* |  |  |  |  |  |
| *Task 4:* |  |  |  |  |  |
| *Task 5, etc: (please add rows as needed)* |  |  |  |  |  |

1. Approximate cost of this activity (include cash, in-kind [i.e., donated goods or services], and third-party sources):

|  |  |  |  |
| --- | --- | --- | --- |
| Budget Category | Applicant Resources  (in USD) | Third Party Resources (identify source)  (in USD) | Total Resources Needed  (in USD) |
| Salaries: |  |  |  |
| Other Direct Costs: |  |  |  |
| Training: |  |  |  |
| Travel and Transportation: |  |  |  |
| Goods and Materials: |  |  |  |
| *[Add more rows as needed.]* |  |  |  |
| Total Estimated Costs (in USD) |  |  |  |

By affixing my signature below, I certify that to the best of my knowledge, the information provided in this application is accurate and correct:

Submitted by (name and title): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |
| --- |
| FOR PROJECT USE ONLY |
| Date received \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RFA No. HRH2030-CBM-Sub-2021-01  The undersigned hereby certifies that: (a) the prospective sub-recipient has received an official delivery receipt for its *Concept Paper*, (b) a copy of that receipt has been filed, (c) a reference number has been assigned, and (d) a subaward application file has been opened. In addition, the prospective sub-recipient has been advised as to the review and appraisal process, and its primary project point of contact.  Name, Subaward Manager \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

Annex B. Required Certifications and Assurances

List of certifications and assurances to be submitted by the applicant as part of concept paper application:

* Certification of “Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction (AAPD 14-03, August 2014)”
* Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)

List of certifications and assurances to be submitted by selected applicant prior to signing the subaward:

* Certification of Recipient
* Certification Regarding Terrorist Financing
* Assurance of Compliance with Laws and Regulations Governing Nondiscrimination in Federally Assisted Programs
* Certification Regarding Lobbying (Required for all subawards over $100,000).
* Federal Funding Accountability and Transparence Act (FFATA) Subaward Reporting Questionnaire and Certification (Required for all subawards of $25,000 or more)
* Protecting Life in Global Health Assistance
* For subawards over the equivalent of $25,000, the subrecipient must provide Chemonics with its Duns and Bradstreet Number (DUNS #) prior to subaward execution or immediately following execution. In cases where the subrecipient does not have a DUNS #, Chemonics may help the subrecipient to obtain one.

## REPRESENTATION BY ORGANIZATION REGARDING A DELINQUENT TAX LIABILITY OR A FELONY CRIMINAL CONVICTION (August 2014)

(a) In accordance with section 7073 of the Consolidated Appropriations Act, 2014 (Pub. L. 113-76) none of the funds made available by that Act may be used to enter into an assistance award with any organization that –

(1)Was “convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency has direct knowledge of the conviction, unless the agency has considered, in accordance with its procedures, that this further action is not necessary to protect the interests of the Government”; or

(2)Has any “unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency has direct knowledge of the unpaid tax liability, unless the Federal agency has considered, in accordance with its procedures, that this further action is not necessary to protect the interests of the Government”.

For the purposes of section 7073, it is USAID’s policy that no award may be made to any organization covered by (1) or (2) above, unless the M/OAA Compliance Division has made a determination that suspension or debarment is not necessary to protect the interests of the Government.

**(b) Applicant Representation**:

(1)The Applicant represents that it is [ ] is not [ ] an organization that was convicted of a felony criminal violation under a Federal law within the preceding 24 months.

(2)The Applicant represents that it is [ ] is not [ ] an organization that has any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Type or Print Name

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Position Title

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of Execution

**Prohibition on Providing Federal Assistance to Entities that Require Certain Internal Confidentiality Agreements – Representation (May 2017)**

(a) Definitions.

“Contract” has the meaning given in 2 CFR Part 200.

“Contractor” means an entity that receives a contract as defined in 2 CFR Part 200.

“Internal confidentiality agreement or statement” means a confidentiality agreement or any other written statement that the recipient requires any of its employees or subrecipients to sign regarding nondisclosure of recipient information, except that it does not include confidentiality agreements arising out of civil litigation or confidentiality agreements that recipient employees or subrecipients sign at the behest of a Federal agency.

“Subaward” has the meaning given in 2 CFR Part 200.

“Subrecipient” has the meaning given in 2 CFR Part 200.

(b) In accordance with section 743 of Division E, Title VII, of the Consolidated and Further Continuing Appropriations Act, 2015 (Pub. L. 113-235) and its successor provisions in subsequent appropriations acts (and as extended in continuing resolutions), Government agencies are not permitted to use funds appropriated (or otherwise made available) for federal assistance to a non-Federal entity that requires its employees, subrecipients, or contractors seeking to report waste, fraud, or abuse to sign internal confidentiality agreements or statements that prohibit or otherwise restrict its employees, subrecipients, or contractors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.

(c) The prohibition in paragraph (b) of this provision does not contravene requirements applicable to Standard Form 312, (Classified Information Nondisclosure Agreement), Form 4414 (Sensitive Compartmented Information Nondisclosure Agreement), or any other form issued by a Federal department or agency governing the nondisclosure of classified information.

(d) Representation. By submission of its application, the prospective recipient represents that it will not require its employees, subrecipients, or contractors to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting its employees, subrecipients, or contractors from lawfully reporting waste, fraud, or abuse related to the performance of a Federal award to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information (for example, the Agency Office of the Inspector General).

(END OF PROVISION)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Type or Print Name

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Position Title

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of Execution

### Certification of Recipient

To: Chemonics International

I,\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, as a legally authorized

Name (Printed or Typed) Title

representative of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Organization Name

do hereby certify that, to the best of my knowledge and belief, this organization's management and other employees responsible for their implementation are aware of the requirements placed on the organization by 2 CFR 200, and Federal and USAID regulations with respect to the management of, among other things, personnel policies (including salaries), travel, indirect costs, and procurement under this agreement and I further certify that the organization is in compliance with those requirements and other applicable U.S. laws and regulations.

I, we, understand that a false, or intentionally misleading certification could be the cause for possible actions ranging from being found not responsible for this award, termination of award, or suspension or debarment of this organization in accordance with the ADS 303 Standard Provision for Non-U.S. Nongovernmental Organizations (for in-kind, standard, and simplified grants) entitled “Award Termination and Suspension” and the ADS 303 Standard Provision for Fixed Amount Awards to Nongovernmental Organizations entitled “Debarment and Suspension.”

I, we, further agree that by signing below, we provide certification and assurance for the following:

*(Note: This list will be updated based on the requirements of the subaward.)*

1. Assurance of Compliance with Laws and Regulations Governing Non-Discrimination in Federally Assisted Programs
2. The Certification Regarding Lobbying
3. The Certification Regarding Terrorist Financing
4. Protecting Life in Global Health Assistance
5. The Certification Regarding Trafficking in Persons
6. Federal Funding Accountability and Transparence Act (FFATA) Subaward Reporting Questionnaire and Certification

These certifications and assurances are given in consideration of and for the purpose of obtaining any and all U.S. Federal grants, loans, contracts, property, discounts, or other U.S. Federal financial assistance extended after the date hereof to the recipient by Chemonics, including installment payments after such date on account of applications for U.S. Federal financial assistance which was approved before such date. The recipient recognizes and agrees that such U.S. Federal financial assistance will be extended in reliance on the representations and agreements made in these assurances, and that the United States will have the right to seek judicial enforcement of these assurances. These assurances are binding on the recipient, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign these assurances on behalf of the recipient.

I declare under penalty of perjury that the foregoing is true and correct.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature Position Title

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Type or Print Name Date of Execution

### Certification Regarding Terrorist Financing

**Certification Regarding Terrorist Financing, Implementing** **Executive Order 13224**

By signing and submitting this application, the prospective recipient provides the certification set out below:

1. Except as otherwise disclosed in writing and included with this application, the Recipient did not, within the previous three years, knowingly engage in transactions with, or provide material support or resources to, any individual or entity who was, at the time, subject to sanctions administered by the Office of Foreign Assets Control (OFAC) within the U.S. Department of Treasury pursuant to the Global Terrorism Sanctions Regulations (31 CFR Part 594), and the Foreign Terrorist Organizations Sanctions Regulations (31 CFR Part 597), or sanctions established by the United Nations Security Council, collectively, “U.S. or U.N. sanctions.” Note: Chemoncs intends to retain the information disclosed to the Agreement Officer pursuant to this paragraph in any award file and use it in determining whether to provide the applicant with an assistance award. Chemonics will not make such information available publicly unless required by law.

2. The representation in paragraph (1) does not apply to:

(a) Transactions entered into or material support and resources provided pursuant to an OFAC license;

(b) The furnishing of USAID funds, or USAID-financed commodities or other assistance, to the ultimate beneficiaries of USAID-funded humanitarian or development assistance, such as the recipients of food, non-food items, medical care, micro-enterprise loans or shelter, unless the applicant knew or had reason to believe that one or more of these beneficiaries was subject to U.S. or U.N. terrorism-related sanctions; or

(c) The procurement of goods and/or services by the Recipient acquired in the ordinary course of business through contract or purchase, such as utilities, rents, office supplies, or gasoline, unless the applicant knew, or had reason to believe, that a vendor or supplier of such goods and services was subject to U.S. or U.N. sanctions.

This certification includes express terms and conditions of the award, and any violation of it will be grounds for unilateral termination of the agreement by USAID. This certification does not preclude any other remedy available to USAID.

3. For purposes of this Certification-

a. “Material support and resources” means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.”

(i) “Training" means instruction or teaching designed to impart a specific skill, as opposed to general knowledge.

(ii) “Expert advice or assistance" means advice or assistance derived from scientific, technical, or other specialized knowledge.

b. “Entity” means a partnership, association, corporation, or other organization, group or subgroup.

Grantee Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Title\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee Authorized Representative Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Assurance of Compliance with Laws and Regulations Governing Nondiscrimination in Federally Assisted Programs

(a) The recipient hereby assures that no person in the United States shall, on the bases set forth below, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under, any program or activity receiving financial assistance from USAID, and that with respect to the Cooperative Agreement for which application is being made, it will comply with the requirements of:

(1) Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352, 42 U.S.C. 2000-d), which prohibits discrimination on the basis of race, color or national origin, in programs and activities receiving Federal financial assistance;

(2) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), which prohibits discrimination on the basis of handicap in programs and activities receiving Federal financial assistance;

(3) The Age Discrimination Act of 1975, as amended (Pub. L. 95-478), which prohibits discrimination based on age in the delivery of services and benefits supported with Federal funds;

(4) Title IX of the Education Amendments of 1972 (20 U.S.C. 1681, et seq.), which prohibits discrimination on the basis of sex in education programs and activities receiving Federal financial assistance (whether or not the programs or activities are offered or sponsored by an educational institution); and

(5) USAID regulations implementing the above nondiscrimination laws, set forth in Chapter II of Title 22 of the Code of Federal Regulations.

(b) If the recipient is an institution of higher education, the Assurances given herein extend to admission practices and to all other practices relating to the treatment of students or clients of the institution, or relating to the opportunity to participate in the provision of services or other benefits to such individuals, and shall be applicable to the entire institution unless the recipient establishes to the satisfaction of the USAID Administrator that the institution's practices in designated parts or programs of the institution will in no way affect its practices in the program of the institution for which financial assistance is sought, or the beneficiaries of, or participants in, such programs.

Grantee Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Title\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee Authorized Representative Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

### Certification Regarding Lobbying

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Cooperative Agreement, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

**Statement for Loan Guarantees and Loan Insurance**

The undersigned states, to the best of his or her knowledge and belief, that: If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Grantee Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Title\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee Authorized Representative Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Federal Funding Accountability And Transparency Act (FFATA) Subaward Reporting Questionnaire And Certification**

In accordance with the Federal Funding Accountability and Transparency Act (FFATA), the information in this form is required to be reported by prime contractors through FAR 52.204-10 “Reporting Executive Compensation and First-Tier Subcontract Awards” for Grants (subawards) valued at $30,000 and greater (See Required As Applicable Standard Provision # 7 for non-U.S. organizations, # 24 for U.S. organizations, and # 3 for U.S. and Non-U.S. fixed amount awards) in the FFATA Subcontract Reporting System (FSRS.gov). **As required by the referenced FAR, complete this questionnaire and certification as part of the Subcontract, Sub-Task Order with a value of $30,000 or more** **or Grant with a value of $30,000 or more. Please review the Subcontractor/Grantee Data included herein for accuracy and note any adjustments necessary. The Subcontractor/Grantee is exempted from the FSRS.gov reporting in the case of a positive response to Section A.**

**Prime Contract**

**Insert Prime Contract Name**

**Insert Prime Contract Number/Task Order Number**

**Subcontractor/Grantee Data**

**Insert Subcontractor/Grantee Name**

**Insert Subcontractor/Grantee Address**

**Insert Subcontractor/Grantee City, Insert Subcontractor/Grantee State in USA, or Province/Other**

**Insert Subcontractor/Grantee Zip code or Postal Code Insert Subcontractor/Grantee Country**

**Subcontract/Grant Number: Insert subcontract/GRANT number**

**Start Date: INSERT SUBCONTRACT/GRANT START DATE**

**Subcontract/Grant Value:** **INSERT SUBCONTRACT/GRANT VALUE**

1. In the previous tax year, was your company’s/grantee gross income from all sources **under** $300,000?

Yes  No

1. If **“No”,** please provide the below information and answer the remaining questions.
2. **Subcontractor/Grantee DUNS Number:** **Insert DUNS on record**
3. In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which the DUNS number belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) $25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:

Yes  No

1. Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

Yes  No

1. Does your business or organization maintain a record in the System for Award Management ([www.SAM.gov](http://www.SAM.gov))?

Yes  No

1. If you have indicated “Yes” for paragraph (ii) **and** “No” for paragraph (iii) and (iv) above, provide the names and total compensation\* of your five most highly compensated executives\*\* for the preceding completed fiscal year.
2. Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Amount:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Amount:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Amount:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Amount:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Amount:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

By signature below, I hereby certify that the information provided above is true and accurate as of the date of execution of this document, and I further understand that annual certification is required for information provided in paragraph (v) above.

Signature and Title (required) Date

\*“Total compensation” means the cash and noncash dollar value earned by the executive during the Subcontractor’s preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

(1) *Salary and bonus*.

(2) *Awards of stock, stock options, and stock appreciation rights*. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Financial Accounting Standards Board’s Accounting Standards Codification (FASB ASC) 718, Compensation-Stock Compensation.

(3) *Earnings for services under non-equity incentive plans*. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

(4) *Change in pension value*. This is the change in present value of defined benefit and actuarial pension plans.

(5) *Above-market earnings on deferred compensation which is not tax-qualified*.

(6) Other compensation, if the aggregate value of all such other compensation (*e.g.*, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds $10,000.

\*\*”Executive” means officers, managing partners, or any other employees in management positions**.**

### Protecting Life in Global Health Assistance (May 2017)

Per the required as applicable standard provision entitled, “Protecting Life in Global Health Asssistance (May 2017)”, by signing below, the applicant or recipient, as applicable, through its duly designated representative, hereby certifies they will, along with all of ther sub-recipients, abide by ***all*** the terms and conditions of the referenced-standard provision including the following:

(i) The recipient will not, while receiving assistance under this award, perform or actively promote abortion as a method of family planning in USAID-recipient countries or provide financial support to other foreign nongovernmental organizations that conduct such activities;

(ii) The prime organization (Chemonics) and authorized representatives of USAID may, at any reasonable time: (A) inspect the documents and materials maintained or prepared by the recipient in the usual course of its operations that describe the family planning activities of the recipient, including reports, brochures, and service statistics; (B) observe the family planning activity conducted by the recipient; (C) consult with family planning personnel of the recipient; and (D) obtain a copy of the audited financial statement or report of the recipient, if there is one;

(iii) In the event that the prime organization or USAID has reasonable cause to believe that a recipient may have violated its undertaking not to perform or actively promote abortion\* as a method of family planning, the prime organization shall review the family planning program of the recipient to determine whether a violation of the undertaking has occurred. The recipient shall make available to the prime organization such books and records and other information as may be reasonably requested in order to conduct the review. USAID may also review the family planning program of the recipient under these circumstances, and USAID shall have access to such books and records and information for inspection upon request consistent with 2 CFR Part 200;

(iv) The recipient shall refund to the prime organization the entire amount of assistance for health funding furnished to the recipient under this award in the event it is determined that the certification provided by the recipient under subparagraph (iii), above, is false;

(v) Health assistance provided to the recipient shall be terminated if the recipient violates any undertaking in the agreement required by subparagraphs (iii) and (iv), and the recipient shall refund to the recipient the value of any assistance furnished under this award that is used to perform or actively promote abortion as a method of family planning; and

(vi) The recipient may furnish assistance for family planning under this award to another foreign nongovernmental organization (the sub-subrecipient) only if: (A) the sub-subrecipient certifies in writing that it does not perform or actively promote abortion as a method of family planning in USAID-recipient countries and does not provide financial support to any other foreign nongovernmental organization that conducts such activities; and (B) the subrecipient obtains the written agreement of the sub-subrecipient that contains the same undertakings and obligations to the subrecipient as those provided by the subrecipient to the recipient as described in this certification and the referenced standard provision.

\*Further information, including definitions of the terms used in this certification, including the term “actively promote abortion” can be found in the required standard provision referenced herein and annexed as part of the agreement. By signing this certification, the recipient confirms that they have read in full and agree to the standard provision entitled, “Protecting Life in Global Health Assistance (May 2017”).

Grantee Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee’s Authorized Representative Name & Title\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Grantee Authorized Representative Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_